



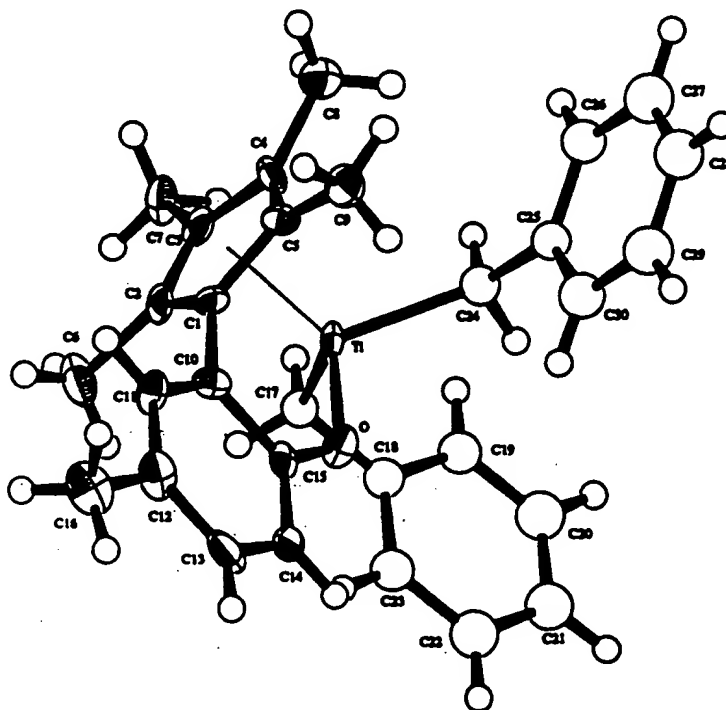
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : B01J 31/00, 37/00, C08F 4/02, 4/60, 4/44		A1	(11) International Publication Number: WO 98/16311
			(43) International Publication Date: 23 April 1998 (23.04.98)
(21) International Application Number: PCT/US97/18590			(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(22) International Filing Date: 15 October 1997 (15.10.97)			
(30) Priority Data:			
60/028,412 15 October 1996 (15.10.96) US			
Not furnished 15 October 1997 (15.10.97) US			
(71) Applicant: NORTHWESTERN UNIVERSITY [US/US]; 1801 Maple Avenue, Evanston, IL 60201 (US).			
(72) Inventors: MARKS, Tobin, J.; 2300 Central Park Avenue, Evanston, IL 60201 (US). CHEN, You-Xian; Apartment #35, 7701 North Sheridan Road, Chicago, IL 60626 (US).			
(74) Agents: SHEKLETON, Gerald, T. et al.; Welsh & Katz, Ltd., Suite 120, 120 South Riverside Plaza, Chicago, IL 60606 (US).			
Published With international search report.			

(54) Title: PHENOLATE CONSTRAINED GEOMETRY METALLOCENE OLEFIN POLYMERIZATION CATALYST, METHOD OF MAKING, AND METHOD OF USING

(57) Abstract

Preparation of the compound $(Ar'R_4)O(Ar''R'_4)M(CH_2Ph)_2$ is performed by adding $(Ar''R'_4)(Ar'R'_4)OH$ to $M(CH_2Ph)_4$, where Ar' is phenyl or naphthyl, Ar'' is cyclopentadienyl or indenyl, R and R' are independently H or C1-10 alkyl groups, M is a group 4 transition metal. The compound is activated toward polymerization of alpha olefins by any of the cocatalysts methylalumoxane, $B(ArF)_3$, and $Ph_3C^+B(ArF)_4^-$.



PHENOLATE CONSTRAINED GEOMETRY METALLOCENE OLEFIN POLYMERIZATION CATALYST, METHOD OF MAKING, AND METHOD OF USING

This invention was made with Government support under Contract No. DE-FG02-86ER13511 awarded by the Department of Energy. The Government has certain rights in this invention.

5 This is a non-provisional application, from provisional application Serial No. 60/028,412, filed October 15, 1996.

Background of the Invention

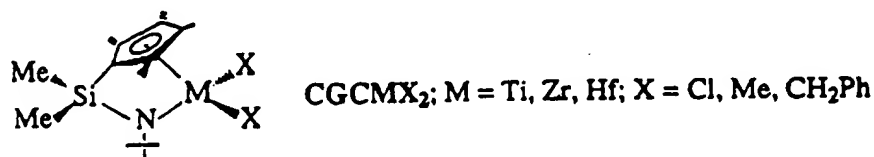
10 This invention relates to the compositions of matter useful as a catalyst system, to a method for preparing these catalyst systems and to a method for polymerization utilizing the catalyst system.

15 The use of soluble Ziegler-Natta type catalysts in the polymerization of olefins is well known in the prior art. In general, such systems include a Group IV-B metal compound and a metal or metalloid alkyl cocatalyst, such as aluminum alkyl cocatalyst. More broadly, it may be said to include a mixture of a Group I-III metal alkyl and a transition metal complex from the Group IVB-VB metals, particularly titanium, zirconium, or hafnium with aluminum alkyl cocatalysts.

20 First generation cocatalyst systems for homogeneous metallocene Ziegler-Natta olefin polymerization, alkylaluminum chlorides (AlR_2Cl), exhibit low ethylene polymerization activity levels and negligible propylene polymerization activity. Second generation cocatalyst systems, utilizing methyl aluminoxane (MAO), raise activities by several orders of magnitude. In practice however, a large stoichiometric excess of MAO over catalyst ranging

from several hundred to ten thousand must be employed to have good activities and stereoselectivities. Moreover, it has not been possible to isolate characterizable metallocene active species using MAO. The third generation of cocatalyst, $B(C_6F_5)_3$, proves to be far more efficient while utilizing a 1:1 catalyst-cocatalyst ratio. Although active catalyst species generated with $B(C_6F_5)_3$ are isolable and characterizable, the anion $MeB(C_6F_5)_3^-$ formed after Me^- abstraction from metallocene dimethyl complexes is weakly coordinated to the electron-deficient metal center, thus resulting in a decrease of certain catalytic activities. The recently developed $B(C_6F_5)_4^-$ types of non-coordinating anions exhibit some of the highest reported catalytic activities, but such catalysts have proven difficult to obtain in the pure state due to poor thermal stability and poor crystallizability, which is crucial for long-lived catalysts and for understanding the role of true catalytic species in the catalysis for the future catalyst design. Synthetically, it also takes two additional steps to prepare such an anion than for the neutral organo-Lewis acid.

Ligand modifications have played a key role in developing new "single-site" group 4 metallocene catalyst precursors for optimizing polymerization activity as well as polymer properties such as stereoregularity, molecular weight, thermal/rheological characteristics, bulky and polar comonomer incorporation and microstructure. In particular, complexes of bifunctional monocyclopentadienyl ligands having an appended heteroatom donor attracted considerable attention, as exemplified by "constrained geometry catalysts" having the formula $Me_2Si(\eta^5-Me_4C_5)(^tBuN)MX_2$ (CGCMX₂; M = Ti, Zr, Hf; X = Cl, Me, CH₂Ph). These catalysts have a covalently attached amide donor ligand which stabilizes the electrophilic metal center electronically, while the short $Me_2Si <$ bridging group considerably opens the metal coordination sphere vis-à-vis a conventional metallocene. The result upon activation with a variety of cocatalysts is a new generation of catalysts which, among other features, efficiently produce ultra-low density elastomeric ethylene-octene copolymers.



Given the import of the Cp-appended heteroatom donor groups on the catalytic performance of such complexes, ligand design remains a very active and challenging area of

olefin polymerization research and much attention has been paid to the design of new N- and O-containing ligands.

Summary of the Invention

5 Accordingly, it is an object of the subject invention to prepare and utilize a new class of olefin polymerization catalytic system.

A further object of the subject invention is a catalytic system which permits better control over molecular weight, molecular distribution, stereoselectivity, and stability.

10 Another object of the subject invention is a Ziegler-Natta type catalytic system which uses a one-step synthetic approach.

These and other objects are attained by the subject invention whereby in one embodiment, there is a new method of synthesis of a constrained geometry catalyst system, *i.e.*, a convenient "one pot" synthesis of a new bifunctional mono-Cp ligand containing an appended phenolate group ((TCP)H₂) as well as efficient one-step syntheses of the
15 corresponding C₁ symmetric Ti and chiral C₂-symmetric Zr complexes. This ligand system includes a straight forward and efficient synthetic procedure as well as great intrinsic steric and electronic flexibility introducible via modification of the aryl fragment. Further, this invention teaches the solution and solid state structure, cocatalyst abstraction/activation chemistry and the use of the subject catalytic system, in olefin polymerization with a 2-
20 tetramethylcyclopentadienyl-4-methyl phenolate ligand complex.

Concise Description of the Drawings

Figure 1 is a perspective drawing of the molecular structure of catalytic complex of the subject invention.

25

Detailed Description of the Invention

The subject invention involves a method of preparing a constrained geometry catalyst of the general formula Ar'R₄(O)Ar''R'₄M(CH₂Ph)₂ where Ar' is a phenyl or naphthyl group; Ar'' is a cyclopentadienyl or indenyl group, R and R' are H or alkyl substituents (C
30 ≤ 10) and M = Ti, Zr or Hf. The synthetic method involves a simple alkane elimination approach which permits a "one-pot" procedure. The catalyst, when combined with a cocatalyst such as Pb₃C⁺B(Ar₃^F)₄⁻BAr₃^F or methyl alumoxane where Ar^F is a fluoroaryl group

is an effective catalyst for the polymerization of α -olefins such as ethylene, propylene and styrene.

Materials and Methods. All manipulations of air-sensitive materials were performed with rigorous exclusion of oxygen and moisture in flamed Schlenk-type glassware on a dual-manifold Schlenk line or interfaced to a high-vacuum line (10^{-6} torr), or in a nitrogen-filled Vacuum Atmospheres glove box with a high capacity recirculator (<1 ppm O_2). Argon, hydrogen (Matheson, prepurified), ethylene and propylene (Matheson, polymerization grade) were purified by passage through a supported MnO oxygen-removal column and an activated Davison 4A molecular sieve column. Ether solvents were purified by distillation from Na/K alloy benzophenone ketyl. Hydrocarbon solvents (toluene and pentane) were distilled under nitrogen from Na/K alloy. All solvents for high-vacuum line manipulations were stored *in vacuo* over Na/K alloy in Teflon-valved bulbs. Deuterated solvents were obtained from Cambridge Isotope Laboratories (all ≥ 99 atom %D), were freeze-pump-thaw degassed, dried over Na/K alloy and stored in resealable flasks. Other non-halogenated solvents were dried over Na/K alloy and halogenated solvents were distilled from P_2O_5 and stored over activated Davison 4A molecular sieves. C_6F_5Br (Aldrich) was vacuum distilled from P_2O_5 . Styrene (Aldrich) was dried over CaH_2 and vacuum-transferred into a storage tube containing activated 4A molecular sieves. $TiCl_4$, $ZrCl_4$, $PhCH_2MgCl$ (1.0 M in diethyl ether). $n-BuLi$ (1.6 M in hexanes), 2-bromo-4-methylphenol and 2,3,4,5-tetramethyl-2-cyclopentenone were purchased from Aldrich. $Ti(CH_2Ph)_4$, $Zr(CH_2Ph)_4$, $B(C_6F_5)_3$, $Ph_3C^+B(C_6F_5)_4^-$ were prepared according to literature procedures.

Physical and Analytical Measurements. NMR spectra were recorded on either Varian VXR 300 (FT 300 MHz, 1H ; 75 MHz, ^{13}C) or Germini-300 (FT 300 MHz, 1H ; 75 MHz, ^{13}C ; 282 MHz, ^{19}F) instruments. Chemical shifts for 1H and ^{13}C spectra were referenced to internal solvent resonances and are reported relative to tetramethylsilane. ^{19}F NMR spectra were referenced to external $CFCl_3$. NMR experiments on air-sensitive samples were conducted in Teflon valve-sealed sample tubes (J. Young). NMR assays of polymer microstructure were conducted in $C_2D_2Cl_4$ at $120^\circ C$. Melting temperatures of polymers were measured by DSC (DSC 2920, TA Instruments, Inc.) from the second scan with a heating rate of $20^\circ C/min$. GPC analyses of polymer samples were performed on a Waters 150C GPC relative to polystyrene standards.

Synthesis of 2-Tetramethylcyclopentadienyl-4-methylphenol (TCP)H₂. Into a 1 L Schlenk flask were charged 50.0 g (267 mmol) of 2-bromo-4-methylphenol and 250 mL of THF, and then 350 mL of ⁿBuLi (560 mmol, 1.6 M in hexane) was added dropwise with stirring at 0°C. A white precipitate formed and the resulting mixture was allowed to warm to room temperature and stirred for another 2 h. The solution was next cooled to -78°C and 2,3,4,5-tetramethyl-2-cyclopentenone (40.2 mL, 36.9 g, 267 mmol) was added dropwise over 30 min. The resulting solution was then allowed to warm to room temperature and stirred overnight. The reaction mixture was next treated with 20 mL of water followed by 120 mL of concentrated HCl. The organic layer was separated and treated three times with 40 mL of concentrated HCl. Volatiles were removed by rotary evaporation and the oily residue was distilled under vacuum at 150°C/15 torr to yield 28.5 g of the title ligand as a dark brown crystalline solid. Yield: 46.8% ¹H NMR (C₆D₆, 23°C): δ 6.88 (s, 1 H, Ar), 6.78 (m, 2 H, Ar), 3.00 (s, 1 H, OH), 2.50 (q, J_{H-H} = 6.6 Hz, 1 H, Cp-H), 2.18 (s, 3 H, Ar-CH₃), 1.63 (s, 3 H, Cp-CH₃), 1.48 (s, 3 H, Cp-CH₃), 1.28 (s, 3 H, Cp-CH₃), 1.00 (d, J_{H-H} = 7.2 Hz, 3 H, Cp-CH₃). ¹³C NMR (C₆D₆, 23°C): δ 157.11, 138.57, 132.63, 129.18, 125.40 (Ar), 109.88, 101.36, 58.37, 51.40 (Cp), 24.86 (Ar-CH₃), 21.00, 20.45, 12.27, 9.63 (Cp-CH₃). Anal. Calcd for C₁₆H₂₀O: C, 84.16; H, 8.83. Found: C, 84.37; H, 8.94.

Synthesis of (TCP)Ti(CH₂Ph)₂. Ti(CH₂Ph)₄ (1.01 g, 2.40 mmol), (TCP)H₂ (0.46 g, 2.0 mmol), and 50 mL of toluene were heated with stirring at 60-65°C for 30 h in the absence of light. The solvent was removed *in vacuo* and the black residue was extracted with 50 mL of pentane. The pentane extracts were then filtered and the solvent was removed from the filtrate under vacuum. The resulting crude product was washed with 5 mL of cold pentane and dried to produce 0.46 g of the pure product as a brown solid. Yield: 50.4% The product is very soluble in pentane. ¹H NMR (C₆D₆, 23°C): δ 7.13 (d, J_{H-H} = 7.5 Hz, 4 H, Ph), 7.04 (d, J_{H-H} = 7.5 Hz, 4 H, Ph), 6.89 (d, J_{H-H} = 8.1 Hz, 2 H, Ar), 6.83 (t, J_{H-H} = 7.5 Hz, 2 H, Ph), 6.59 (d, J_{H-H} = 7.5 Hz, 1 H, Ar), 2.50 (d, J_{H-H} = 10.2 Hz, 2 H, CH₂Ph), 2.32 (d, J_{H-H} = 10.2 Hz, 2 H, CH₂Ph), 2.11 (s, 3 H, Ar-CH₃), 1.90 (s, 6 H, C₅Me₄), 1.44 (s, 6 H, C₅Me₄). ¹³C NMR (C₆D₆, 23°C): δ 170.71, 147.87, 136.73, 130.50, 130.00, 129.76, 128.70 (Ar, Ph), 123.07, 121.18, 114.00 (Cp), 83.85 (t, J_{C-H} = 127.5 Hz, CH₂Ph), 20.73 (Ar-CH₃), 11.49 (C₅Me₄). Anal. Calcd for C₃₀H₃₂OTi: C, 78.93; H, 7.09. Found: C, 78.67; H, 6.83.

Synthesis of (TCP)₂Zr. Zr(CH₂Ph)₄ (2.10 g, 4.60 mmol), (TCP)H₂ (0.840 g, 3.68 mmol) and 50 mL of toluene were heated with stirring at 110°C for 12 h in the absence of light. Using the same work-up procedure as the synthesis of (TCP)Ti(CH₂Ph)₂ above, 0.35 g of the (TCP)₂Zr complex was isolated as a colorless crystalline solid. Yield: 35.0%. ¹H NMR (C₆D₆, 23°C): δ 7.07 (d, J_{H-H} = 2.1 Hz, 2 H, Ar), 7.01 (d, J_{H-H} = 7.8 Hz, J_{H-H} = 2.1 Hz, 2 H, Ar), 6.73 (d, J_{H-H} = 8.4 Hz, 2 H, Ar), 2.25 (s, 6 H, Ar-CH₃), 2.08 (s, 6 H, C₅Me₄), 1.78 (s, 6 H, C₅Me₄), 1.72 (s, 12 H, C₅Me₄). ¹³C NMR (C₆D₆, 23°C): δ 173.89, 138.84, 129.91, 129.24, 129.18, 126.47 (Ar), 120.14, 118.42, 117.09, 115.83 (Cp), 20.83 (Ar-CH₃), 11.07, 10.56, 10.00, 9.52 (C₅Me₄). Anal. Calcd for C₃₂H₃₆O₂Zr: C, 70.67; H, 6.67. Found: C, 70.49; H, 6.73.

In Situ Generation of (TCP)TiCH₂Ph⁺PhCH₂B(C₆F₅)₃. (TCP)Ti(CH₂Ph)₂ (4.6 mg, 0.010 mmol) and B(C₆F₅)₃ (5.1 mg, 0.010 mmol) were loaded in the glove box into a J-Young NMR tube which was then attached to the high vacuum line. CD₂Cl₂ (0.7 ~ 1 mL) was then vacuum-transferred into this tube at -78°C. The NMR spectroscopy was carried out at -40°C. ¹H NMR (CD₂Cl₂, -40°C): δ 7.81 (t, J_{H-H} = 7.5 Hz, 1 H), 7.65 (t, J_{H-H} = 7.5 Hz, 1 H), 7.41 (t, J_{H-H} = 7.5 Hz, 1 H), 7.35 (t, J_{H-H} = 7.5 Hz, 1 H), 7.23 (d, J_{H-H} = 7.5 Hz, 1 H), 7.07 (t, J_{H-H} = 7.5 Hz, 2 H), 6.85 (t, J_{H-H} = 7.5 Hz, 2 H), 6.79 (t, J_{H-H} = 7.5 Hz, 1 H), 6.64 (d, J_{H-H} = 6.9 Hz, 2 H), 6.10 (d, J_{H-H} = 6.9 Hz, 1 H), 3.84 (d, J_{H-H} = 6.3 Hz, 1 H, Ti-CH₂Ph), 2.96 (d, J_{H-H} = 6.3 Hz, 1 H, Ti-CH₂Ph), 2.71 (s, br, 2 H, B-CH₂Ph), 2.44 (s, 3 H, Ar-CH₃), 2.33 (s, 3 H, C₅Me₄), 2.13 (s, 3 H, C₅Me₄), 2.04 (s, 3 H, C₅Me₄), 1.57 (s, 3 H, C₅Me₄). A small amount of dibenzyl (δ 2.84 ppm) was also detected in the NMR reaction. ¹⁹F NMR (CD₂Cl₂, -40°C): δ -130.00 (s, br, 6 F, *o*-F), -162.30 (t, ³J_{H-H} = 21.4 Hz, 3 F, *p*-F), -165.30 (s, br, 6 F, *m*-F).

In Situ Generation of (TCP)TiCH₂Ph⁺B(C₆F₅)₄. (TCP)Ti(CH₂Ph)₂ (4.6 mg, 0.010 mmol) and Ph₃C⁺B(C₆F₅)₄ (9.2 mg, 0.010 mmol) were loaded in the glove box into a J-Young NMR tube which was then attached to the high vacuum line. CD₂Cl₂ (0.7 ~ 1 mL) was then vacuum-transferred into this tube at -78°C. The NMR spectroscopy was carried out at -60°C. ¹H NMR (CD₂Cl₂, -60°C): δ 7.81 (t, J_{H-H} = 7.5 Hz, 1 H), 7.65 (t, J_{H-H} = 7.5 Hz, 1 H), 7.43 (t, J_{H-H} = 7.5 Hz, 1 H), 7.40-6.90 (m, obscured by superimposed signals of Ph₃CCH₂Ph), 6.09 (d, J_{H-H} = 8.1 Hz, 1 H), 3.84 (d, J_{H-H} = 6.3 Hz, 1 H, Ti-CH₂Ph), 2.96 (d, J_{H-H} = 6.3 Hz, 1 H, Ti-CH₂Ph), 2.44 (s, 3 H, Ar-CH₃), 2.31 (s, 3 H, C₅Me₄), 2.03 (s, 3 H, C₅Me₄), 1.59 (s, 3 H, C₅Me₄). A small amount of dibenzyl (δ 2.83 ppm) was

also detected in the NMR reaction ^{13}C NMR (CD_2Cl_2 , -60°C): δ 82.56 (t, $J_{\text{C-H}} = 150.8$ Hz, $\text{Ti-CH}_2\text{Ph}$). ^{19}F NMR (CD_2Cl_2 , -60°C): δ -131.86 (s, br, 8 F, *o*-F), -161.08 (t, $^3J_{\text{F-F}} = 21.2$ Hz, 4 F, *p*-F), -165.03 (s, br, 8 F, *m*-F).

Ethylene, Propylene and Styrene Polymerization Experiments. Ethylene, propylene and styrene polymerizations were carried out at room temperature in 250-mL flamed, round-bottom flasks equipped with magnetic stirring bars and attached to a high vacuum line. In a typical experiment, a 1:1 ratio of $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$: cocatalyst in 2 mL of toluene or 1,2-difluorobenzene (for those catalysts activated with $\text{Ph}_3\text{C}^+\text{B}(\text{C}_6\text{F}_5)_4^-$), freshly prepared in the glove box, was quickly injected (using a gas-tight syringe equipped with a spraying needle) into a rapidly stirred flask containing a measured quantity of dry toluene which was pre-saturated under 1.0 atm of rigorously purified ethylene or propylene. For styrene polymerization, the toluene solution contained 2.0 mL of freshly distilled styrene under 1.0 atm of Ar. After a measured time interval, the polymerization was quenched by the addition of 2% acidified methanol. The polymer was then collected by filtration, washed with methanol and dried on the high vacuum line overnight to a constant weight.

X-Ray Crystallographic Studies of $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$. Orange crystals of $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ were grown by slow cooling of a saturated pentane solution to -20°C over several days. The solvent was decanted in the glove box and the crystals were quickly covered with a layer of Paratone-N oil (Exxon, dried and degassed at $120^\circ\text{C}/10^{-6}$ torr for 24 h). The crystals were then mounted on thin glass fibers and transferred into the cold-steam (-120°C) of the Enraf-Nonius CAD4 diffractometer. Final cell dimensions were obtained by at least-squares fit to the automatically centered settings for 25 reflections. Intensity data were all corrected for absorption, anomalous dispersion and Lorentz and polarization effects. The space group was determined by statistical analysis of intensity distribution and successful refinement of the proposed structure. Crystallographic data are summarized in Table 1.

TABLE 1

Summary of the Crystal Structure Data for $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$

Formula	$\text{C}_{30}\text{H}_{32}\text{TiO}$
Formula Weight	456.48
Crystal Color, Habit	orange, platey
Crystal Dimensions (mm)	0.24 x 0.15 x 0.01

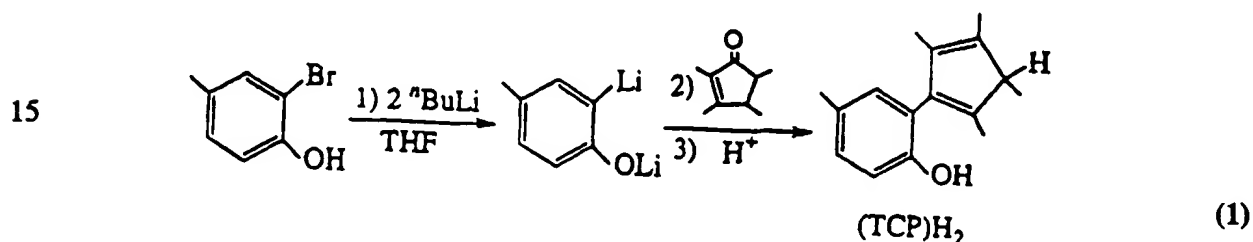
Summary of the Crystal Structure Data for TCP)Ti(CH₂Ph)₂

	Crystal System	triclinic
	α , Å	8.324(3)
	b , Å	10.432(4)
	c , Å	14.634(4)
5	α , deg	85.67(3)
	β , deg	79.23(3)
	γ , deg	76.64(4)
	V , Å ³	1213.9(8)
	Space Group	$P\bar{1}$ (#2)
10	Z	2
	d (calc), g/cm ³	1.249
	μ , cm ⁻¹	3.72
	Diffractometer	Enraf-Nonius, CAD4
	Radiation	MoK α (λ = 0.71069 Å) graphite monochromated
15	Temperature	-120°C
	Scan Type	ω - θ
	2θ Range, deg	2.0-45.9
	Intensities (unique, R_i)	3634 (3358, 0.114)
	Transmission Factor Range	0.9543-0.9970
20	Secondary Extinction	coefficient: 6.29514e-08
	Intensities > $3\sigma(I)$	1335
	No. of Parameters	220
	R	0.066
	R_w	0.054
25	Max Densities in ΔF Map, e/Å ³	0.37

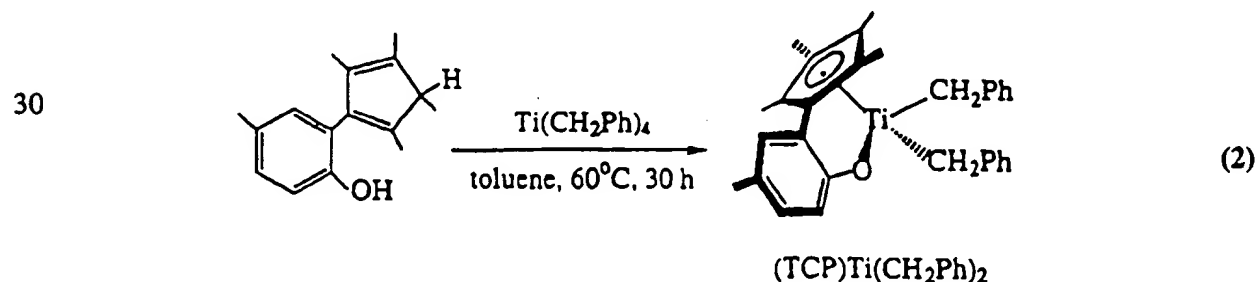
The structure was solved by direct methods and expanded using Fourier techniques. Owing to the paucity of data, atoms C17-C30 (the benzyl groups) were refined isotopically while the remaining non-hydrogen atoms were refined anisotopically. The hydrogen atoms were included in idealized positions. The final cycle of full-matrix least-squares refinement was based on 1335 observed reflections ($I > 3.00 \sigma(I)$) and 220 variable

parameters. All calculations were performed using the TeXsan crystallographic software package of Molecular Structure Corporation.

Synthesis of $(\text{TCP})\text{H}_2$, $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ and $(\text{TCP})_2\text{Zr}$. The "one-pot" synthesis of the 2-tetramethylcyclopentadienyl-4-methylphenol ligand $(\text{TCP})\text{H}_2$ is described below. The reaction of commercially available 2-bromo-4-methylphenol with 2 equiv of $^t\text{BuLi}$ yields a dilithio salt, which is not isolated and is next reacted with 2,3,4,5-tetramethyl-2-cyclopentenone to produce the ligand $(\text{TCP})\text{H}_2$ (obtained as a single isomer judging from the NMR) as a brown crystalline solid after hydrolysis and subsequent vacuum distillation. This approach can be compared to the conventional three-step synthesis of $\text{Me}_2\text{Si}(\text{C}_5\text{Me}_4\text{H})(^t\text{BuNH})$, with the attraction of the present system being the efficient synthetic procedure as well as the great potential steric and electronic flexibility introducible via modification of the aryl fragment.

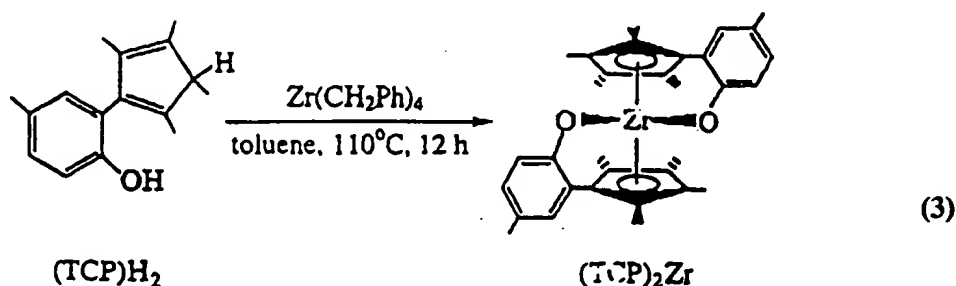


When synthesizing the corresponding group 4 metal complexes from $(\text{TCP})\text{H}_2$, both the conventional metallation/salt elimination (double deprotonation with $^t\text{BuLi}$ followed by metallation with MCl_4) and amine elimination approaches ($(\text{TCP})\text{H}_2 + \text{M}(\text{NMe}_2)_4$ at 110°C for 3 days) gave complex mixtures of unidentified products. On the other hand, the alkane elimination approach for the efficient synthesis of group 4 constrained geometry catalysts afforded the desired complex $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ as set forth below with 50% yield. In solution at room temperature, the ^1H NMR spectrum of $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ reveals two magnetically equivalent benzyl groups each having diastereotopic benzylic protons at δ 2.50 and 2.32 ppm ($J_{\text{H-H}} = 10.2$ Hz). Although the observation of a normal



Ph *iso*- ^{13}C chemical shift at δ 147.87 ppm, a $\text{CH}_2^2J_{\text{HH}}$ value of 10.2 Hz and a $\text{CH}_2^1J_{\text{CH}}$ value of 127.5 can be taken as evidence against significant η^{π} -benzyl bonding, the solid state structural results suggest rapid interconversion of one η^1 - and one η^2 group in solution at room temperature.

5 The corresponding reaction of $(\text{TCP})\text{H}_2$ with $\text{Zr}(\text{CH}_2\text{Ph})_4$ under the same conditions yields a mixture of products. However, at higher reaction temperatures (110°C for 12 h), the reaction yields a new, chiral chelated C_2 -symmetric zirconocene $(\text{TCP})_2\text{Zr}$ which has been characterized spectroscopically and analytically. This salt-free synthetic/ligational approach, which by design yields only the *rac* isomer, may offer
10 attractive features in the stereoselective synthesis of other precursors for *rac*-metallocene catalysts.



Crystal Structure of $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$. The solid state structure of $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ as derived from single X-ray diffraction is shown in FIG. 1 and important
20 bond distances and angles are summarized in Table 2. The geometry around Ti is a slightly distorted tetrahedral with a Cp(centroid)-Ti-O angle of $107.7(2)^\circ$ and a C17-Ti-C24 angle of $101.1(4)^\circ$. This acute Cp(centroid)-Ti-O angle is nearly identical to that of Cp-Ti-N angle in $\text{Me}_2\text{Si}(\text{Me}_4\text{C}_5)(\text{'BuN})\text{TiCl}_2$ (107.6) indicating similar sterically open features for both complexes as catalyst precursors. The Ti-C_{ring}(av) distance of $2.36(1) \text{ \AA}$ is probably slightly
25 longer than the corresponding distance in $\text{Me}_2\text{Si}(\text{Me}_4\text{C}_5)(\text{'BuN})\text{TiCl}_2$ ($2.340(5) \text{ \AA}$).³⁰ The (phenyl)C10-C1(ring) vector in $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ is bent $15(1)^\circ$ from the Me_4Cp ring mean-square plane and the phenyl plane- Me_4Cp plane dihedral angle is $81(1)^\circ$. The Ti-O bond length in $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ ($1.851(7) \text{ \AA}$) is comparable to those reported for bent metallocene
30 Ti^{IV} and Ti^{III} alkoxide complexes, such as $\text{Cp}_2\text{Ti}(\text{OCH}=\text{CH}_2)_2$ (1.903) ($(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$) ($1.903(2) \text{ \AA}$), $\text{Cp}_2\text{Ti}(\text{OC}_2\text{H}_5)\text{Cl}$ ($1.855(2) \text{ \AA}$), $\text{Cp}_2\text{TiO}(2,6\text{-Me}_2\text{C}_6\text{H}_3)$ ($1.892(2) \text{ \AA}$), $[\text{C}_5\text{H}_4(\text{CH}_2)_3\text{O}]\text{TiCl}_2$ ($1.762(2) \text{ \AA}$) and $[\text{C}_5\text{Me}_4(\text{CH}_2)_3\text{O}]\text{TiCl}_2$ ($1.767(1) \text{ \AA}$), where a partial Ti-O double bond character involving oxygen π -donation to the metal in addition to the σ -

interaction is associated with short Ti-O bond lengths. On the other hand, the present Ti-O-C15 angle ($126.6(6)^\circ$) is somewhat smaller than typical Ti-O-C angles as in the above examples ($\sim 140^\circ$) where Ti-O multiple bonding is assumed operative, reflecting the great steric strain in $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$, also evidenced by the 15° bend of the (phenyl)C10-C1(ring) vector from the Me_4Cp ring mean-square plane.

The two benzyl ligands in complex $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ are not equivalent in the solid state, with one engaging in normal η^1 -bonding ($\text{Ti-C24} = 2.13(1)\text{\AA}$; $\angle \text{Ti-C24-C25} = 127.2(7)^\circ$) and the other in partial η^2 -bonding with Ti-C17 and $\text{Ti-C}_{\text{ipso}}(\text{C18})$ distances of $2.121(10)$ and $2.92(1)\text{\AA}$, respectively, and a Ti-C17-C18 angle of $106.7(7)^\circ$ (FIG. 1).

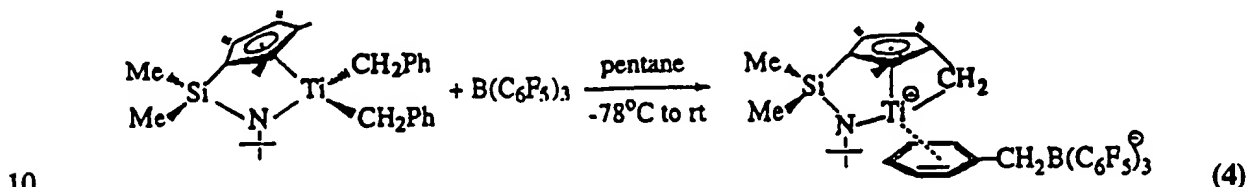
TABLE 2

Selected Bond Distances (\AA) and Angles (deg) for $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$

Bond Distances				
15	Ti-O	1.851(7)	Ti-Cl	2.33(1)
	Ti-C2	2.36(1)	Ti-C3	2.39(1)
	Ti-C4	2.37(1)	Ti-C5	2.35(1)
	Ti-C17	2.121(10)	Ti-C24	2.13(1)
	Ti-C18	2.92(1)	C24-C25	1.50(1)
20	O-C15	1.36(1)	C17-C18	1.49(1)
Bond Angles				
	O-Ti-C17	104.5(4)	O-Ti-C24	108.0(4)
	Ti-O-C15	126.6(6)	Ti-C17-C18	106.7(7)
	Ti-C24-C25	127.2(7)	O-C15-C10	116.6(10)
25	O-C15-C14	124(1)	C1-C10-C15	112.6(10)
	C1-C10-C11	128(1)	C17-Ti-C24	101.1(4)
	Cp(centroid)-Ti-O	107.7(2)		

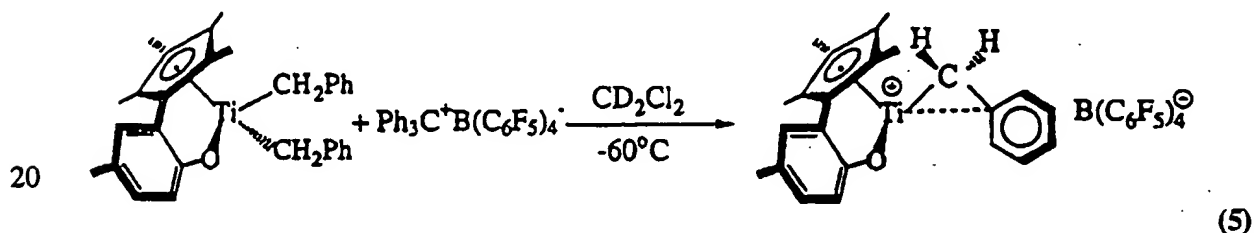
Reaction Chemistry of $(\text{TCP})\text{Ti}(\text{CH}_2\text{Ph})_2$ with $\text{B}(\text{C}_6\text{F}_5)_3$ and $\text{Ph}_3\text{C}^+\text{B}(\text{C}_6\text{F}_5)_4^-$. The reaction of bis-Cp-type metallocene dibenzyls with $\text{B}(\text{C}_6\text{F}_5)_3$ and $\text{Ph}_3\text{C}^+\text{B}(\text{C}_6\text{F}_5)_4^-$ often generates the corresponding cationic complexes with η^2 -bonding of the remaining benzyl group to the electrophilic metal center. In contrast, the reaction of the

Me₂Si(Me₄C₅)(ⁿBuN)M(CH₂Ph)₂ complexes with B(C₆F₅)₃ and Ph₃C⁺B(C₆F₅)₄⁻ follows a different course. While low-temperature NMR-scale reactions of Me₂Si(Me₄C₅)(ⁿBuN)M(CH₂Ph)₂ complexes with B(C₆F₅)₃ and Ph₃C⁺B(C₆F₅)₄⁻ in CD₂Cl₂ indicate the formation of cationic monobenzyl species, preparative scale reactions at higher temperature afford C-H activation products, *i.e.*, intramolecularly ring-metallated η¹, η⁶-fulvene-type complexes.



Likewise, the low-temperature NMR-scale reactions of (TCP)Ti(CH₂Ph)₂ with B(C₆F₅)₃ and Ph₃C⁺B(C₆F₅)₄⁻ in CD₂Cl₂ clearly indicate the formation of the corresponding cationic monobenzyl species (TCP)TiCH₂Ph⁺PhCH₂B(C₆F₅)₃⁻ and (TCP)TiCH₂Ph⁺B(C₆F₅)₄⁻, respectively, with identical cation structures based upon the NMR analyses. The Ti-CH₂Ph ¹H NMR signals of (TCP)TiCH₂Ph⁺B(C₆F₅)₄⁻ are observed at δ 3.84 (d, J_{H-H} = 6.3 Hz) and 2.96

15



(d, J_{H-H} = 6.3 Hz) (CD₂Cl₂, -60°C), which is 1.34 and 0.64 ppm down-field shifted, respectively, from the benzyl resonances of neutral precursor (TCP)Ti(CH₂Ph)₂ δ 2.50 (d, J_{H-H} = 10.2 Hz) (C₆D₆, 23°C) as a consequence of cation formation. η²-coordination of the benzyl ligand in the cationic complex is evidenced by a reduction of the value of ²J_{H-H} for the diastereotopic CH₂Ph protons from 10.2 to 6.3 Hz, a high-field shifted Ti-¹³CH₂Ph signal and a large CH₂¹J_{CH} value. However, despite the clean NMR-scale reactions at low temperatures and unlike the case of the constrained geometry monobenzyls, preparative scale reactions at higher temperatures are accompanied by extensive decomposition and isolation of the cationic complex could not be achieved in this case.

25

30

α -Olefin Polymerization Studies. Table 3 summarizes ethylene, propylene, and styrene polymerization activities of (TCP) Ti(CH₂Ph)₂ upon activation with B(C₆F₅)₃ and Ph₃C⁺B(C₆F₅)₄⁻ as well as the properties of the resulting polymers. (TCP)Ti(CH₂Ph)₂ when activated with Ph₃C⁺B(C₆F₅)₄⁻ is a highly active catalyst for ethylene, propylene, and styrene polymerization, producing high molecular weight (> 10⁶) polyethylenes with high melting transition temperatures (T_m = 142EC), as well as atactic polypropylene and polystyrene. The open nature of the catalytic site can be associated with the low degree of polymerization stereocontrol, and the homopoly α -olefin products are generally atactic, similar to the performance of group 4 amido-based constrained geometry catalysts. The broad polydispersities of the polyethylene products may be associated with the rapid decomposition of the cationic species at room temperature (possibly with the formation of η^1 , η^6 "tuck-in" cations) or slow initiation with respect to the fast propagation, and significant inhomogeneity during the course of the catalytic reaction under the present ethylene polymerization conditions. In contrast, propylene polymerization mediated by (TCP)Ti(CH₂Ph)₂ activated with Ph₃C⁺B(C₆F₅)₄⁻ is both very rapid and produces a polymer having narrow polydispersity, which can be attributed to the structurally open nature of the cationic metal coordination sphere (catalytic activity is not affected by the steric encumbrance of monomer) and apparently greater stabilization of the catalytic sites in the presence of propylene. The substantial activity difference of identical cations having different counteranions (entry 1 vs 2) further demonstrates the significant influence of the anion identity on catalytic activity as previously shown in detail by us and others.

TABLE 3

entry	cocatalyst	conditions	monomer ^a	polymer yield (g)	activity ^b	M_w^c	M_w/M_n	remarks
1.	$B(C_6F_5)_3$	15 μ mol catalyst 100 mL toluene, 30 min	ethylene	0.11	1.47×10^4	1.27×10^6	> 10	$T_m = 142.5^\circ C$
2.	$Ph_3C^+B(C_6F_5)_4^-$	15 μ mol catalyst 100 mL toluene, 1 min	ethylene	0.52	2.10×10^6	2.10×10^6	> 10	$T_m = 142.4^\circ C$
3.	$Ph_3C^+B(C_6F_5)_4^-$	20 μ mol catalyst 50 mL toluene, 5 min	propylene	6.37	3.82×10^6	2.36×10^4	1.85	$[mm] = 0.22$ $[mr] = 0.512$ $[rr] = 0.264$
4.	$Ph_3C^+B(C_6F_5)_4^-$	25 μ mol catalyst 5 mL toluene, 5 min	styrene	1.57	4.33×10^7	8.00×10^3	3.32	atactic

^aCarried out at 25°C, 1 atm ethylene, 1 atm propylene and 17.4 mmol styrene.
^bActivities in units of g polymer (mole catalyst-atm-h), except entry 4 in unit of g polystyrene (mole catalyst-mole styrene-h).
^cGPC relative to polystyrene standards.

In summary, a novel phenolic bifunctional mono-Cp "constrained geometry" ligand framework and the Ti and Zr complexes thereof have been designed and synthesized by "one-pot"/one-step syntheses. The solid state structure, activation chemistry, and performance for olefin polymerization of the titanium complex are described. The results
5 considerably expand what is known about "constrained geometry" catalyst design and the consequent olefin polymerization performance.

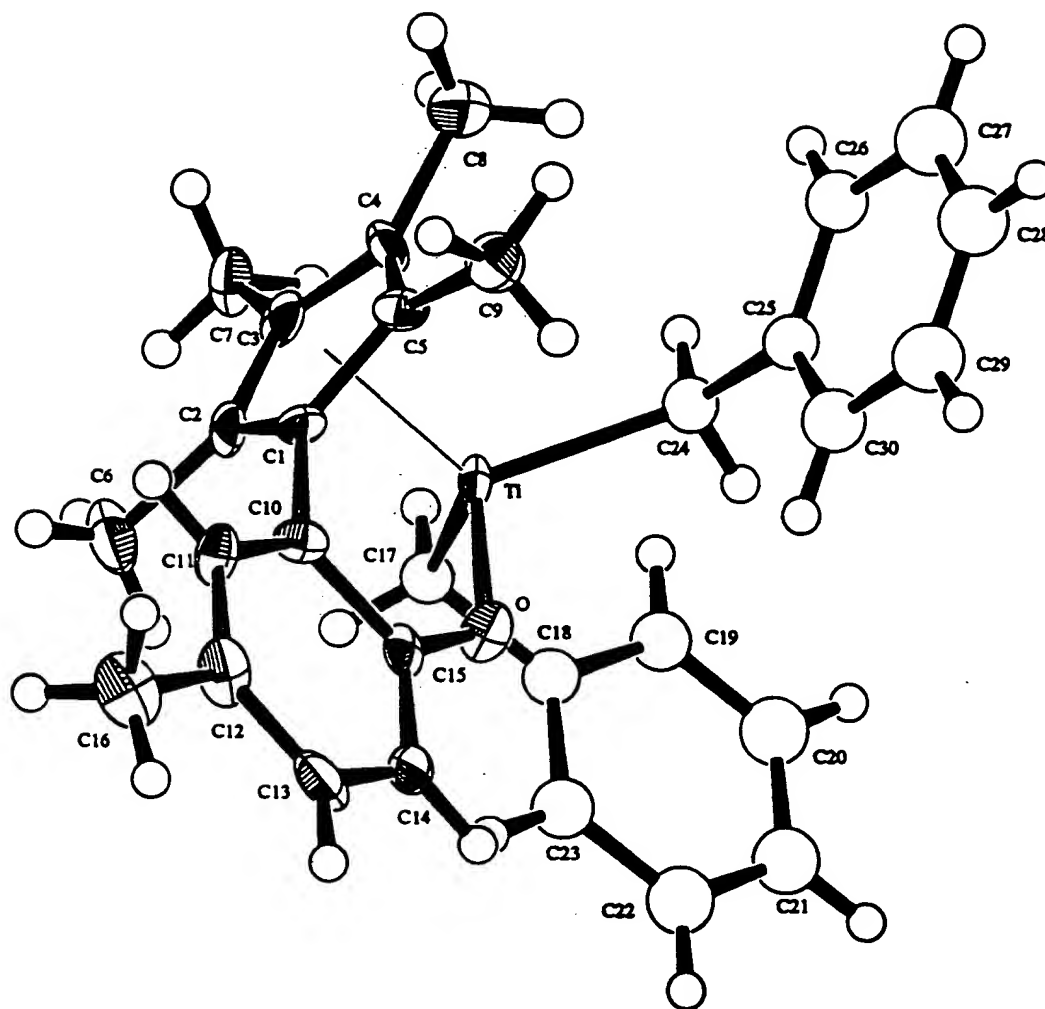
While the invention has been described with reference to a preferred embodiment, it will be understood by those skilled in the art that various changes may be made and equivalents may be substituted for elements thereof without departing from the
10 scope of the invention. In addition, many modifications may be made to adapt a particular situation or material to the teachings of the invention without departing from the essential scope thereof. Therefore, it is intended that the invention not be limited to the particular embodiment disclosed as the best mode contemplated for carrying out this invention, but that the invention will include all embodiments and equivalents falling within the scope of the
15 appended claims.

Various features of the invention are set forth in the following claims.

WE CLAIM:

1. A catalytic complex of the structure: $\text{Ar}'\text{R}_4(\text{O})\text{Ar}''\text{R}'_4\text{M}(\text{CH}_2\text{Ph})_2$ where
 Ar' is a phenyl or naphthyl group;
 Ar'' is a cyclopentadienyl or indenyl group;
 $\text{R}, \text{R}' = \text{H}, \text{alkyl substituents } (\text{C} \leq 10) \text{ or a mixture thereof; and}$
 $\text{M} = \text{Ti, Zr, Hf.}$
2. A method of preparing a catalyst including the steps of adding 2-tetramethylcyclopentadienyl-4-methyl phenol to $\text{Ti}(\text{CH}_2\text{Ph})_4$.
3. The method of Claim 2, further including the step of adding $\text{Ph}_3\text{C}^+\text{B}(\text{Ar}_3^{\text{F}})_4^-$, BAr_3^{F} or methyl alumoxane, where Ar^{F} is a fluoroaryl group.
4. The method of polymerizing a monomer comprising the steps of adding a catalyst to said monomer, said catalyst including the combination of $(\text{TCP})\text{M}(\text{CH}_2\text{Ph})_2$, where
 $\text{TCP} = \text{2-tetramethylcyclopentadienyl-4-methyl phenolate; and } \text{Ph}_3\text{C}^+\text{B}(\text{C}_6\text{F}_5)_4^-.$
5. The method of Claim 4, wherein said reaction is carried out at 25°C .
6. The method of Claim 4, wherein said monomer is an α -olefin.
7. The method of Claim 4, wherein said monomer is selected from the group of ethylene, propylene and styrene.
8. A catalytic complex of the structure: $(\text{TCP})\text{M}(\text{CH}_2\text{Ph})_2$ where
 $\text{TCP} = \text{2-tetramethylcyclopentadienyl-4-methyl phenolate; and}$
 $\text{M} = \text{Ti, Zr, Hf.}$

1/1



SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

 International application No.
 PCT/US97/18590
A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : B01J 31/00, 37/00; C08F 4/02, 4/60, 4/44

US CL : 502/103, 108, 111, 117, 128, 152; 526/134, 943

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 502/103, 108, 111, 117, 128, 152; 526/134, 943

 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
 NONE

 Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
 STN Registry, MARPAT, Beilstein, ZCAPLUS
C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,272,236 A (LAI ET AL) 21 December 1993 (21-12-93), column 6, line 55 to column 7, line 17.	1-8
Y	US 5,374,696 A (ROSEN ET AL) 20 December 1994 (20-12-94), column 22, lines 42-57.	3
Y	US 5,446,117 A (BAIRD ET AL) 29 August 1995 (29-08-95), abstract.	3
Y	EP 0,426,637 A2 (FINA TECHNOLOGY, INC.) 08 May 1991 (08-05-91), page 4, lines 48-51.	3-7
Y	R.T. MORRISON, R.N. BOYD, "Organic Chemistry", 3rd ed., Allyn and Bacon, Boston, 1973, pp. 456-458.	2

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

•	Special categories of cited documents:	•T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
•A	document defining the general state of the art which is not considered to be of particular relevance		
•E	earlier document published on or after the international filing date	•X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
•L	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	•Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
•O	document referring to an oral disclosure, use, exhibition or other means		
•P	document published prior to the international filing date but later than the priority date claimed	•A	document member of the same patent family

Date of the actual completion of the international search 11 DECEMBER 1997	Date of mailing of the international search report 9 JAN 1998
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer J. PASTERCZYK Telephone No. (703) 308-0661

This Page Blank (uspto)